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O I P E  
JAN 15 2004  
PATENT & TRADEMARK OFFICE

# FEES TRANSMITTAL FOR FY 2004

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement.  
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*

TOTAL AMOUNT OF PAYMENT (\$240.00)

| Complete if Known    |                |
|----------------------|----------------|
| Application Number   | 10/633,794     |
| Filing Date          | August 4, 2003 |
| First Named Inventor | Horn           |
| Examiner Name        | NOT ASSIGNED   |
| Group / Art Unit     | NOT ASSIGNED   |
| Attorney Docket No.  | SD-8471        |

## METHOD OF PAYMENT (check one)

1.  Indicated fees and credit any over payments to:

Deposit Account Number **50-0583**

Deposit Account Name **SNL BY KCO**

Charge Any Additional Fee Required Under  
37 CFR 1.16 and 1.17

## 2. Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Entity Fee (\$) | Small Entity Fee Code (\$) | Entity Fee (\$) | Fee Description  | Fee Paid      |
|----------------------------|-----------------|----------------------------|-----------------|--|---------------|
| 1051                       | 130             | 2051                       | 65              | Surcharge - late filing fee or oath  | <b>130.00</b> |
| 1052                       | 50              | 2052                       | 25              | Surcharge - late provisional filing fee or cover sheet                     |               |
| 1053                       | 130             | 1053                       | 130             | Non-English specification  |               |
| 1812                       | 2,520           | 1812                       | 2,520           | For filing a request for reexamination                                     |               |
| 1804                       | 920*            | 1804                       | 920*            | Requesting publication of SIR prior to Examiner action                     |               |
| 1805                       | 1,840           | 1805                       | 1,840           | Requesting publication of SIR after Examiner                               |               |
| 1251                       | 110             | 2251                       | 55              | Extension for reply within first month                                     | <b>110.00</b> |
| 1252                       | 420             | 2252                       | 210             | Extension for reply within second month                                    |               |
| 1253                       | 950             | 2253                       | 475             | Extension for reply within third month                                     |               |
| 1254                       | 1,480           | 2254                       | 740             | Extension for reply within fourth month                                    |               |
| 1255                       | 2,010           | 2255                       | 1,005           | Extension for reply within fifth month                                     |               |
| 1401                       | 330             | 2401                       | 165             | Notice of Appeal   |               |
| 1402                       | 330             | 2402                       | 165             | Filing a brief in support of an appeal                                     |               |
| 1403                       | 290             | 2403                       | 145             | Request for oral hearing   |               |
| 1451                       | 1,510           | 138                        | 1,510           | Petition to institute a public use proceeding                              |               |
| 1452                       | 110             | 2452                       | 55              | Petition to revive - unavoidable   |               |
| 1453                       | 1,330           | 2453                       | 665             | Petition to revive - unintentional   |               |
| 1501                       | 1,330           | 2501                       | 665             | Utility issue fee (or reissue)   |               |
| 1502                       | 460             | 2502                       | 230             | Design issue fee   |               |
| 1503                       | 640             | 2503                       | 320             | Plant issue fee  |               |
| 1460                       | 130             | 1460                       | 130             | Petitions to the Commissioner  |               |
| 1807                       | 50              | 1807                       | 50              | Petitions related to provisional applications                              |               |
| 1806                       | 180             | 1806                       | 180             | Submission of Information Disclosure Stmt                                  |               |
| 8021                       | 40              | 8021                       | 40              | Recording each patent assignment per property (times number of properties) |               |
| 1809                       | 770             | 2809                       | 385             | Filing a submission after final refection (37 CFR 1.129(a))                |               |
| 1810                       | 770             | 2810                       | 385             | For each additional invention to be examined (37 CFR 1.129(b))             |               |
|                            |                 |                            |                 | Other fee (specify) _____  |               |
|                            |                 |                            |                 | Other fee (specify) _____  |               |

\*Reduced by Basic Filing Fee Paid

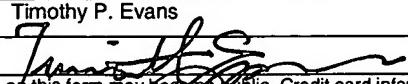
SUBTOTAL (3) \$240.00-

SUBTOTAL (2) (\$0-)

## SUBMITTED BY

Name (Print/Type) **Timothy P. Evans**

## Complete (if applicable)

Reg. Number **41,013**  
(Attorney/Agent)Telephone **(925) 294-3690**Signature Date **1/8/2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

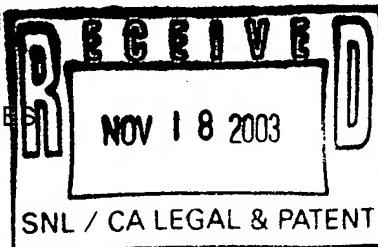


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/633,794         | 08/04/2003             | Brent A. Horn         | SD-8471                |

21568  
TIMOTHY P EVANS  
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CONFIRMATION NO. 7243  
FORMALITIES LETTER



\*OC000000011195510\*

Date Mailed: 11/06/2003

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01/16/2004 SZEWDIE1 00000017 500583 10633794      FILED UNDER 37 CFR 1.53(b)

01 FC:1051      130.00 DA      *Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

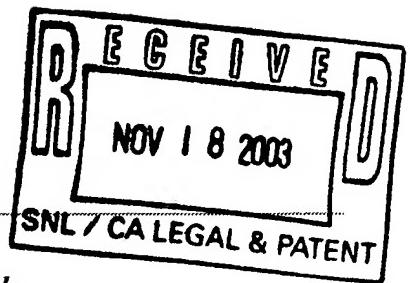
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1a & 1b.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450



*A copy of this notice MUST be returned with the reply.*

*N. Mohammed*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Certificate of Mailing Under 37 CFT § 1.8

I hereby certify that this correspondence and documents referred to herein  
**(Declaration, Drawings & Petition for Extension of Time)** were deposited with the  
United States Postal Service addressed to:

MS Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

on the date shown below:

Date of Deposit: 12 Jan 04

Person Making Deposit: Michelle M. Morris Signature: Michelle M. Morris

Application Serial No. -10/633,794 – SD-8471

For Applicant(s): Horn